Nordic Ecolabelling for
Packaging for Liquid Foods

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This document is a translation of an original in Swedish. In case of dispute, the original document should be taken as authoritative.
Contact information

In 1989, the Nordic Council of Ministers decided to introduce a voluntary official ecolabel, the Nordic Swan Ecolabel. These organisations/companies operate the Nordic Ecolabelling system on behalf of their own country’s government. For more information, see the websites:

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What is a Nordic Swan Ecolabelled Packaging for Liquid Foods?

The Nordic Ecolabelling Criteria Packaging for Liquid Foods are intended to stimulate the development of sustainable produced renewable materials and use of recycled materials in the packaging. Environmental benefits can be expected regarding non-renewable materials reused or recycled infinitely or renewable material replacing products from a fossil source. This will conserve non-renewable resources and reduce emissions of greenhouse gases. The criteria therefore focus on sustainably produced raw materials, chemicals and packaging that can be recycled in the existing recycling systems.

Requirements are also set for packaging design to promote recyclability and on ensuring that environmental benefits are not achieved at the expense of the packaging's primary function, which is to protect and contain the food product. Nordic Swan Ecolabelled Packaging for Liquid Foods:

- Consists of minimum 90% sustainability sourced renewable materials or minimum 80% recycled material, in order to conserve the Earth’s resources and reduce emissions of greenhouse gases.
- Fulfils the high requirements for chemicals, for the benefit of health aspects, as well as opportunities for recycling.
- Can be recycled, which promotes the circular economy.

Packaging for liquid foods is not a traditional Nordic Swan Ecolabelled product, since here it is the actual packaging that is Nordic Swan Ecolabelled, and not the product inside the packaging. To make this clear to the consumers, a special label for Nordic Ecolabelled packaging for liquid foods must be used. Guidelines for the use and placement of the label have also been developed. For example, the label should not be placed on the front side (shelf-facing side) of the product.

Why choose the Nordic Swan Ecolabel?

- Packaging for liquid foods may use the Nordic Swan Ecolabel trademark for marketing. The Nordic Swan Ecolabel is a very well-known and well-reputed trademark in the Nordic region.
- The Nordic Swan Ecolabel is a simple way of communicating environmental work and commitment to customers.
- The Nordic Swan Ecolabel clarifies the most important environmental impacts and thus shows how a company can cut emissions, resource consumption and waste management.
- Environmentally suitable operations prepare packaging for liquid foods for future environmental legislation.
- Nordic Ecolabelling can be seen as providing a business with guidance on the work of environmental improvements.
• The Nordic Swan Ecolabel not only covers environmental issues but also quality requirements, since the environment and quality often go hand in hand. This means that a Nordic Swan Ecolabel licence can also be seen as a mark of quality.

What can carry the Nordic Swan Ecolabel?

The product group consists of primary packaging* for liquid pre-packaged foods**. The actual content, i.e. the liquid food product, is not included in the product group. Should any doubt arise as to which packaging is included in the product group, this will be decided by Nordic Ecolabelling.

Packaging intended to be in contact with a liquid food product for a short time, e.g. take away coffee cups and pizza packs, cannot be Nordic Swan Ecolabelled according to these criteria. These products can be Nordic Swan Ecolabelled according to Nordic Ecolabelling's Criteria for Disposables for Food.

* In accordance with EU Directive 94/62/EC on packaging and packaging waste, the term "primary packaging" is defined as consumer packaging, i.e. packaging conceived so as to constitute a sales unit to the final user or consumer at the point of sale.

** The term "pre-packaged foodstuff" is defined in accordance with EU Regulation 1169/2011: an individual product that in unchanged condition is intended to be sold to final consumers and mass caterers and which consists of a food product and the packaging in which it is placed before it is offered for sale, irrespective of whether the packaging contains the food in full or only in part, but in any case so that the packaging contains the food product in such a way that the contents cannot be altered without opening or changing the packaging; food products that are packaged at the place of sale at the consumer’s request, or are pre-packaged for direct sale will not be considered to be pre-packaged foodstuffs.

How to apply

Application and costs

For information about the application process and fees for this product group, please refer to the respective national web site. For contact information see first in this document.

What is required?

The application must consist of an application form/web form and documentation showing that the requirements are fulfilled.

Each requirement is marked with the letter O (obligatory requirement) and a number. All requirements must be fulfilled to be awarded a licence.
The text describes how the applicant shall demonstrate fulfilment of each requirement. There are also icons in the text to make this clearer. These icons are:

- Enclose

- Requirement checked on site

All information submitted to Nordic Ecolabelling is treated confidentially. Suppliers can send documentation directly to Nordic Ecolabelling, and this will also be treated confidentially.

**License validity**

The Nordic Swan Ecolabel licence is valid providing the criteria are fulfilled and until the criteria expire. The validity period of the criteria may be extended or adjusted, in which case the licence is automatically extended, and the licensee informed.

Revised criteria shall be published at least one year prior to the expiry of the present criteria. The licensee is then offered the opportunity to renew their licence.

**On-site inspection**

In connection with handling of the application, Nordic Ecolabelling normally performs an on-site inspection to ensure adherence to the requirements. For such an inspection, data used for calculations, original copies of submitted certificates, test records, purchase statistics, and similar documents that support the application must be available for examination.

**Queries**

Please contact Nordic Ecolabelling if you have any queries or require further information. See contact information first in this document. Further information and assistance (such as calculation sheets or electronic application help) may be available. Visit the relevant national website for further information.
1 Overview of requirements

This section considers overview of requirements. The overview is structured to clarify who is primarily responsible for documenting specific requirements in the criteria. Concepts and definitions are also specified further in chapter, Terms and Definitions.

Table 1. Overview of the requirements made in the criteria.

<table>
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<th>Requirement no.</th>
<th>Name of requirement</th>
<th>Who documents?</th>
<th>Use declaration</th>
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<tr>
<td><strong>Requirement of primary packaging</strong>&lt;br&gt; (Nordic Swan Ecolabelled packaging - packaging in which the food is packaged)**</td>
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<td>O1</td>
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<td>O18</td>
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<td>Chemical producer, pulp and paper producer</td>
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<td>O28</td>
<td>Planned changes</td>
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</tbody>
</table>
2 Requirements of Nordic Swan Ecolabelled packaging

Requirements in this section concern Nordic Swan Ecolabelled packaging, i.e. primary packaging in which the food is packaged. Primarily the packaging manufacturer and food producer must document the requirements in this section.

O1 Information on the food and its packaging

The food producer and packaging manufacturer must state the following information concerning:

- **Food** packaged in a Nordic Swan Ecolabelled packaging. Report all product names and brands including volume units.

- **Primary packaging** – trade name, manufacturer, design, and a technical description of the production of the packaging. The description must also include any conversion at sub suppliers. Sub suppliers must be described with company name, production site, contact person and the production processes performed (e.g. coating, printing, labels, and stoppers).

- Information on **constituent material** and function in the primary packaging (e.g. paperboard, polymers including type such as PE, PP, PLA, etc.) and the quantities of the various types of material (including percentage by weight of each material in relation to the packaging’s total weight). The overview must include the trade name of the material and manufacturer and a product data sheet or similar for them all must also be enclosed. See also requirement O2 concerning the constituent materials, in which requirements are made of the input material.

- **Chemical products** used in the conversion of primary packaging including finishing, e.g. printing inks, varnish, and adhesives. The list of chemical products must include full name, function, and manufacturer. A safety data sheet must also be enclosed which must be in accordance with Annex II in REACH (Regulation 907/2006/EC).

- Information concerning the **packaging system**. Also state the trade name, manufacturer and material used for the secondary** and tertiary packaging*** or other elements**** which accompany the primary packaging on marketing to end-consumers.

Extrusion coating and adhesives are considered to be constituent material, but not other chemical products such as printing inks or mineral coating.

* Primary packaging is consumer packaging, i.e. packaging conceived so as to constitute a sales unit to the final user or consumer at the point of sale.

** Secondary packaging is group packaging that can be removed from the product without this affecting the product’s characteristics.

*** Tertiary packaging is transport packaging which supports handling and transport.
“Other elements” are components which belong to the packaging, but which are not directly necessary to wrap the food. Examples of “other elements” are supplementary components such as straws.

Descriptions in accordance with the requirements. Appendix 2 is completed by the food producer, Appendix 3 by packaging manufacturer. A product data sheet or similar for constituent materials must be enclosed as part of the documentation. Declaration of the production chemicals used. Safety data sheet in accordance with Annex II to REACH (Council Regulation (EC) no. 1907/2006 can be included on demand.

O2 Constituent materials

1. Paper/paperboard (fibre)
If the primary packaging consists mainly of paper/paperboard (fibre), at least 90% by weight of the primary packaging must be made of bio-based material* annually.

2. Plastics (polymers)
If the primary packaging consists mainly of plastics (polymers), at least 80% by weight of the primary packaging must be made from bio-based material* or recycled material** or a combination of bio-based or recycled material annually.
The recycled plastic must fulfil Regulation (EC) No 282/2008 on recycled plastic materials and articles intended to come into contact with food. The recycling process must be assessed for safety by EFSA, please see http://www.efsa.europa.eu/en/topics/topic/plastics-and-plastic-recycling

3. Glass
If the primary packaging consists mainly of coloured glass, at least 80% by weight of the primary packaging must be made from recycled material** annually.
If the primary packaging consists mainly of uncoloured glass, at least 50% by weight of the primary packaging must be made from recycled material** annually.
Recycled material shall derive from the collection of recyclable packaging glass. Quality requirements for recycled material in production must be stated.

4. Aluminium
If the primary packaging consists mainly of aluminium, at least 80% by weight of the primary packaging must be made from recycled material** annually.
Material flow and the origin of recycled material must be stated.
* The definitions of bio-based polymer/plastic are based on the definitions in the European standard EN 16575:2014 and, also include secondary raw materials in Nordic Ecolabelling’s criteria, see Terms and definitions.
** For recycled plastic the definitions in ISO 14021 apply, see Terms and definitions.

For all primary packaging applies that

- recycled pulp/paper/paperboard must not be included.
- PVC or plastic based on other types of halogenated plastics must not be used.
- aluminium and other metals shall not be used. Exception is the packaging which solely comprises aluminium. The requirement does not apply threaded metallic seals or foil that can be removed completely at the opening of the packaging or when the packaging is emptied and sorted into metal recycling.
• Straws made of plastic (polymers) cannot be included in the packaging.

In the calculation of constituent materials
• Extrusion coating/lamination and adhesives must be included. Other chemicals, such as minerals, printing inks and additives, shall not be included.
• Other elements described in O1 must be included.
• There must be full traceability for the bio-based plastic in packaging which solely comprises plastic, e.g. plastic bottles.
• Mass balance can be used for
  - for constituent components of plastic, such as stoppers and coatings on paperboard
  - bio-based plastic included with less than 10% by weight in the in multilayer packaging.

  Renewable raw materials must be used for production of polymers, not used as an energy source in process.

  Mass balance must be controlled by an independent third party. As a minimum, it must be controlled that the amount of purchased renewable raw material is equivalent to the amount of polymer sold as renewable.

  If the mass balance method is used, the packaging manufacturer must report, e.g. with an invoice, that bio-based polymers are purchased.

 экспертное мнение Calculation showing that the requirement for the proportion of bio-based or recycled material in the primary packaging is fulfilled. Appendix 2 must be used.

 экспертное мнение Declarations that metal, PVC and other plastic based on other types of halogenated plastics, as well as recycled pulp/paper/paperboard, are not included. Appendix 2 must be used.

 экспертное мнение For recycled glass, documents showing that the glass is derived from the collection of recyclable packaging glass. The manufacturer of the packaging shall also enclose the quality requirements they set for recycled glass in their production.

 экспертное мнение For recycled aluminium, material flows and origin shall be documented.

 экспертное мнение For recycled plastic, documentation confirming that the plastic is in compliance with Regulation (EC) No 282/2008 on recycled plastic materials and articles intended to come into contact with foods and that the recycling process is assessed for safety by EFSA.

 экспертное мнение In packaging which solely comprises plastic, it must be confirmed that there is full traceability on the bio-based material in the production, e.g. in the form of separate production lines or as batched production. Alternatively, an analysis of bio-based content according to the method under the EN 16640: 201 and EN 16785-1:2015 standards, or equivalent methods, can be enclosed.

 экспертное мнение For constituent components of plastic such as stoppers or coatings for which mass balance can be used, a declaration from an independent party must be submitted, showing that:
  • renewable raw materials are registered/booked as material production of polymers; and that
• there is a control system for purchased renewable raw materials and the amount of renewable polymer sold.

The packaging manufacturer must report, e.g. with an invoice, that bio-based polymers are purchased.

O3 Material in contact with food

Besides material in contact with food being required to fulfil current legislation*, and plastic packaging and elements of plastic being required to comply with EU Regulations**,

• pulp, paper, and paperboard in packaging must also comply with one of the following recommendations:
  a) BfR's recommendation XXXVI. Paper and board for food contact, July 2015 or more recent versions, or
  b) CEPI's Industry guideline for the Compliance of Paper & Board materials and articles for food contact, published on 2 September 2012, or more recent versions.

• the glass in packaging must also comply with EU Directive 84/500 and its amendments (EU Directive 2005/31) on ceramic articles intended to come into contact with foodstuffs.

• aluminium in packaging must also comply with CM / Res (2013) 9 Metals and alloys used in food contact materials and articles published by the Directorate for the Quality of Medicines & Health Care of the Council of Europe (EDQM).

* EU Regulation 1935/2004 with related amendments on materials and articles intended to come into contact with food, and EU Regulation 2023/2006 on good manufacturing practice for materials and articles intended to come into contact with food.

** EU Regulation 10/2011 with related amendments on plastic materials and articles intended to come into contact with food.

Producer must enclose copies of certificates, declarations or analysis results showing that material in contact with food fulfils legislation and,

- for paper, one of the previous recommendations,
- for glass, the applicable requirements of EU Directive 84/500 and its amendments,
- for aluminium, the applicable requirements of Resolution CM / Res (2013)9.

O4 Testing of migrates

The requirement applies to primary packaging made mainly of:

• paper and paperboard (such as beverage cartons)
• virgin and recycled plastics (such as plastic bottles)
• aluminium including coatings (such as aluminium cans)

Finished primary packaging shall regularly* be assessed for migration of intentionally and non-intentionally added substances.

Non-intentionally added substances (NIAS) from finished primary packaging shall be subject to a documented risk assessment according to Article 19 of EU regulation 10/2011 and must not migrate into food in quantities which could endanger human health.
The risk assessment shall be made according to “Guidance on Best Practices on the Risk Assessment of Non-Intentionally Added Substances (NIAS) in Food”, ILSI Europe Report Series 2016, or equivalent documents can be used. The risk assessment shall also cover potential NIAS that are listed in requirements O16 and O17.

For non-intentionally added substances and **intentionally added substances (IAS)** that are listed in EU 10/2011, current rules must be followed, and substances must meet their respective specific migration limits.

A specific migration limit of 0.01 mg/kg is applied to substances covered by requirements O16 and O17. This applies to both intentionally and non-intentionally added substances.

* **Regularly means at the least every two years**

- Declaration from the manufacturer that a risk assessment of NIAS has been done in accordance with the requirement.
- Documented risk assessment of NIAS migration. The risk assessment shall either be explained step-by-step or be verified by a competent third-party.
- Routines and procedures, including requirements and approval schemes for materials and chemicals used for production of the packaging and plan for frequency of testing, to assure compliance with this criterion.
- Results from regular analyses or non-targeted screening tests showing that migration limit values for NIAS, based on outcome from the risk assessment, are not exceeded.
- Results from regular analyses showing that migration limit values for IAS are not exceeded.

**O5 Packaging design**

1. A packaging manufacturer must have procedures for the design phase of the primary packaging for ensuring that primary packaging is

   - resource-efficient from a materials viewpoint.
     - For disposable glass bottles, weight (kg) ratio to net content in the bottle (litre) shall not exceed 0.56. Refillable glass bottles are exempted from the requirement.
     - For disposable glass jars, the value S for the weight of the package (g) relative to the full volume of the jar (ml) according to the formula, see below, is at maximum of 2.1. Calculation sheets are available from Nordic Ecolabelling or via websites.
       \[ S = \frac{5}{9} \times \left( \frac{\text{weight of jar (g)}}{\text{full volume}^{2/3} \text{ (ml)}} \right) \]
     - optimised from a transport viewpoint, e.g. that the packaging’s structure, and the quality and amount of materials, are matched to the relevant pressures during transport, warehousing and distribution, in order to avoid crushing/loss and that air is not transported unnecessarily;
     - easy to open, reclose and empty; and
     - easy to material recycle or reuse.

The packaging manufacturer must also have procedures for dialogue with customers to develop these together with the food producer and regarding recommendation for secondary and tertiary packaging that are adapted to the primary packaging.
2. Packaging manufacturers shall have procedures showing how they work with strategic goals to reduce environmental impact in the production of packaging (e.g. mapping of energy efficiency measures, dialogue with suppliers to reduce environmental impact in raw material production.) The goals shall be quantitative and time-based, and they shall be determined by the management.

- Enclose procedures for the design of primary packaging and for the dialog with customers, showing fulfilment of the requirement.
- Enclose calculation showing that requirement for the disposable glass bottle is met. If the glass bottle is an exception for calculation, enclose documentation that shows that the bottle is refillable.
- Enclose procedures for policy or equivalent documentation of the manufacturer's work with environmental goals, showing fulfilment of the requirement.

O6 Recycling of primary packaging
It must be possible to recycle primary packaging in today's existing recirculation systems in the Nordic countries.

Incineration with energy recovery is not considered to be material recovery.

Example of polymers/plastic from which materials cannot be recycled include biodegradable/compostable plastics such as PLA.

- Enclose documentation showing that the primary packaging is recyclable and define which recovery methods are possible.

O7 Packaging with recycling design
Primary packaging shall have a design that enables effective material recovery.

For glass packaging applies:
Combined materials - e.g. glass and plastic must not be included in the bottle itself. Ceramics or porcelain shall not be used in the glass or closures.
Adhesive used to attach labels to packaging must be hot-melt adhesive (melts at 60 to 80°C) or water-soluble and alkaline.

For aluminium packaging applies:
Combined materials - e.g. aluminium and plastic must not be included in the can itself.
Outer surface of aluminium cans shall not be based on paper or plastic.

For plastic packaging applies:

- **Filler**
  Filler such as CaCO₃ shall not be added to plastic packaging in a concentration so that the plastic's density exceeds 0.995 g/cm³.

- **Dyes**
  Plastic packaging including closing devices, such as stoppers, must not be coloured with Carbon Black.
  This does not apply to caps/stoppers in plastic packaging that are recycled via the deposit-refund system for bottles.
  Dyes that are used must fulfil requirements O15-O18.

- **Label/scanning plastic size**
Labels/scanning plastic shall not cover more than 60% of the packaging surface. The requirement does not apply if the same material is used in the scanning plastic/label as in the packaging.

**Adhesives for labels**

Adhesive used to attach labels to packaging must be hot-melt adhesive (melts at 60 to 80°C) or water-soluble and alkaline.

* Packaging specification (including labels and closures) or documentation showing which material is used (see also requirement O1) and compliance with declarations showing that
  - combined materials are not included in the glass bottle and aluminium cans,
  - ceramics or porcelain are not used in the glass, and
  - outer surface of aluminium cans is not based on paper or plastic.

* For plastic, packaging specification (including labels and stoppers) or declaration showing which plastic is used, including information on
  - the type of mineral in the plastic and a calculation showing that the density measurement is not exceeded,
  - dyeing and that carbon black is not in use,
  - if black caps/stoppers (carbon black) are used, declaration that packaging is recycled only via deposit-refund system, and
  - the size of the label in relation to the packaging.

* Documentation (e.g. as a safety data sheet) of the adhesive showing that it is hot-melt adhesive or water-soluble and alkaline.

**O8 Information to consumers**

Packaging must be clearly marked with indicative information on how it is to be sorted. The information must be clearly visible to the end-consumer and be of such a nature that the consumer understands what is meant. Labelling can be in the form of symbols/pictograms* or text, e.g. "Paper packaging" or "Plastic packaging". Labelling can be embossed, stamped or printed.

* Symbols are e.g. the deposit label or labels advocated by the Green Dot, FTI or Rinki. General symbols such as recycling symbols or general text can also be used but must first be approved by Nordic Ecolabelling. Note, however that, the Green Dot label will not be approved as a general symbol.

* Enclose documentation such as a picture of the embossing, label, artwork or equivalent, to document fulfilment of the requirement.

### 3 Requirements of constituent substances

#### 3.1 Pulp, paper, and paperboard

The requirements of pulp, paper and board included in packaging are specified below. General requirements of the manufacture of pulp and paper can be found in Nordic Ecolabelling’s modular system for paper products, to which the following requirements refer:

- Basic Module for Nordic Swan Ecolabelling of Paper products, version 2 or later.
• Chemical Module for Nordic Swan Ecolabelling of Paper Products, version 2 or later.

In addition to the existing criteria for paper products specified above, other paper, board and cardboard types may be included in packaging, and which are not subject to the aforementioned criteria. These are subject to their own specific requirements of energy and emissions to air and water. These are specified as separate reference values in O10 below or given in the criteria for Disposables in contact with food, version 4 or later.

In addition to the following requirements, requirements O18, O20-O21, in section 4, still apply to pulp, paper and paperboard.

Pulp and paper manufacturers must document the requirement in the web-based application guide, My Swan Account can be accessed via the Internet addresses on page 3 of this document, or via www.nordic-swan-ecolabel.org.

O9 Pulp
Pulp must meet all the relevant requirements in the Basic Module for Paper Products, version 2 or later.

Documentation of the requirements must be done in the application tool My Swan Account (MSA). Please contact Nordic Ecolabelling for username and password.

The pulp manufacturer must show that the requirements are fulfilled with completed forms in MSA.

O10 Paper and paperboard

**Paper and paperboard covered by the Basic Module for Paper Products**

Paper and board that is covered by the Basic Module for the “Nordic Swan Ecolabelling of Paper Products” version 2 or later must meet all the requirements in the Basic Module and the Chemicals Module for Paper Products, version 2 or later with the exception of R7 Fibre raw materials and R11 Transport in the Basic Module. There is an own requirement for fibre raw material in this criteria document, see O11.

If the paper or board already carries the Nordic Swan Ecolabel, or has been checked by Nordic Ecolabelling, the requirement is fulfilled, except for fibre raw materials, which shall be documented according to requirement O11 below. State the licence certificate or information on the trading name and the manufacturer of the assessed material.

**Paper and paperboard not covered by the Basic Module**

Paper and board that are not covered by the Basic Module for the Nordic Swan Ecolabelling of Paper Products version 2 or later must meet all the relevant requirements in the Basic Module and the Chemicals Module for Paper Products, version 2 or later, with exception of R7 Fibre raw materials and R11 Transport in the Basic Module. There is an own requirement for fibre raw material in this criteria document, see O11.

For energy and emissions to air and water, the reference values and requirement limits for the paper machine apply, as stated below or those given in the Criteria for Disposables in contact with food, version 4 or later. The calculation methods used in the Basic Module for Paper Products, version 2, are to be used.
<table>
<thead>
<tr>
<th>Table 2. Reference values for energy</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Energy – reference values (kWh/tonne board)</strong></td>
</tr>
<tr>
<td><strong>Fuel</strong></td>
</tr>
<tr>
<td>Liquid packaging board</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Table 3. Reference values for COD, P, S and NOx</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Reference values (kg/tonne board)</strong></td>
</tr>
<tr>
<td><strong>COD</strong></td>
</tr>
<tr>
<td>Liquid packaging board</td>
</tr>
</tbody>
</table>

An application for approval of pulp, paper and board is to be made via the electronic application tool My Swan Account (MSA). Contact Nordic Ecolabelling for a username and password.

The manufacturer must show that the requirements are fulfilled with completed forms in MSA.

**O11 Fibre raw material**

The requirement consists of four parts that all must be fulfilled:

1. Virgin tree species listed on Nordic Ecolabelling’s list of restricted tree species* must not be used in pulp/paper.

   The list consists of tree species listed on:
   
   a) CITES (Appendices I, II and III)
   
   b) IUCN red list, categorized as CR, EN and VU
   
   c) Rainforest Foundation Norway’s tree list
   
   d) Siberian larch (originated in forests outside the EU)

Exemptions

Eucalyptus and Acacia used for pulp and paper production are exempted from the list (note **).

Tree species listed on either b), c) or d) may be used if it meets all of the following requirements:

- the tree species does not originate from an area/region where it is IUCN red listed, categorized as CR, EN or VU.
- the tree species does not originate from Intact Forest Landscape (IFL), defined in 2002 http://www.intactforests.org/world.map.html.
- the tree species shall originate from FSC or PEFC certified forest/plantation and shall be covered by a valid FSC/PEFC chain of custody certificates documented/controlled as FSC or PEFC 100% through the FSC transfer method or PEFC physical separation method. Tree species grown in plantation shall in addition originate from FSC or PEFC certified forest/plantation, established before 1994.


** Regarding pulp, fibre raw material from eucalyptus/acacia must be a minimum of 70% certified.

2. The pulp producer must state the name (species name) of the wood raw material used in the production of pulp.

3. The pulp and paper/board producer must be Chain of Custody certified in accordance with FSC or PEFC.
4. Certification.

**Paper/board**: yearly/the latest 12 months, a minimum of 70% of the wood raw material that are used in the paper/board must originate from forestry certified under the FSC or PEFC schemes. The remaining proportion of wood raw material must be covered by the FSC/PEFC control schemes (FSC controlled wood/PEFC controlled sources).

**Pulp**: If the pulp is used directly in the finished packaging, for instance as pressed pulp, yearly/the latest 12 months, a minimum of 70% of the wood raw material in the pulp must originate from forestry certified under the FSC or PEFC schemes. The remaining proportion of wood raw material must be covered by the FSC/PEFC control schemes (FSC controlled wood/PEFC controlled sources).

- Declaration from the pulp manufacturer that tree species listed on a-d) are not used. Regarding acacia/eucalyptus, documentation showing that the quantity of certified fibre in pulp is met. Appendix 3 shall be used.

  If species from the lists b), c) or d) is used:

  - The applicant/manufacturer/supplier are required to present a valid FSC/PEFC Chain of Custody certificate that covers the specific tree species and demonstrate that the tree is controlled as FSC or PEFC 100% through the FSC transfer method or PEFC physical separation method.

- The applicant/manufacturer/supplier are required to document full traceability back to the forest/certified forest unit thereby demonstrating that:
  - the tree does not originate from an area/region where it is IUCN red listed, categorized as CR, EN or VU;
  - the tree species does not originate from Intact Forest Landscape (IFL), defined in 2002 http://www.intactforests.org/world.webmap.html;
  - For plantations the applicant/manufacturer/supplier are required to document that the tree species does not originate from FSC or PEFC certified plantations established after 1994.

- Name (species name) of the wood raw materials used in the pulp production. Appendix 3 can be used.

- A valid FSC/PEFC Chain of Custody certificate from the pulp- and paper/paperboard producer covering all the wood raw materials in the pulp/paper/paperboard.

- Certification pulp/paper/paperboard: The producer of the packaging shall document, for instance based on invoice or delivery note, that the requirement of minimum 70% certified pulp/paper/paperboard are purchased on a yearly basis.

3.2 Other renewable raw materials

**O12 Agricultural raw materials including palm oil, soy and sugar cane**

Agricultural raw materials shall fulfill the following requirements. The requirement does not apply to secondary raw materials*.

For all agricultural raw materials, state the name (in Latin and English), plus geographical origin (country/state) and supplier of the agricultural raw materials used.
Sugar cane
For bio-based plastic in packaging that only consist of plastic or that constitute more than 10% by weight in the packaging: sugar cane must be Bonsucro-certified.

Palm oil and soy oil:
Bio-based plastic in packaging that only consist of plastic:
Palm oil and soy oil cannot be used as a raw material in the production of bio-based plastic.
Bio-based plastic used for coating or that constitute less than 10% by weight in the packaging:
Palm and soy oil is allowed as a raw material in bio-based plastic used as coating and in plastic that constitute less than 10% by weight in the packaging. This also applies if the bio-based plastic for coating is bio-based by using the mass balance method. The raw materials shall have the following certification:
- Palm oil, palm kernel oil and palm oil derivatives must be RSPO certified
- Soy oil must be RTRS certified

Certified raw material (sugar cane, palm oil and soy oil)
Producer of bio-based polymer or suppliers of certified raw materials must be traceability (Chain of Custody, CoC) certified in line with the current certification system, and the traceability must be assured via the mass balance system. The book and claim system is not accepted.
The producer of the bio-based polymer must document the purchase of certified raw materials.
The license holder/producer of the packaging must document that it is purchased bio-based polymer with the use of certified raw materials, for instance by a specification on the invoice or delivery note.

* Secondary raw materials are defined here as residual products from other production processes, such as waste products from the food industry, by-products such as straw from grain production, by-products from maize and dried palm leaves. PFAD from palm oil is not counted as a residual/waste product.

Nordic Ecolabelling may assess other certification schemes for the raw materials above as they become relevant. The certification scheme will be assessed according to Nordic Ecolabelling’s requirements concerning standards and certification systems, as set out in Appendix 5.

- Name (in Latin and English language) and geographic origin (country/state) of the agricultural raw materials used.
- Copy of valid CoC certificate or certification number. Documentation such as an invoice or delivery note from the producer of the bio-based polymer and the packaging, showing that bio-based polymer with certified raw material was purchased at least the same annual amount that is used in the production of Nordic Swan Ecolabelled packaging materials.

O13  Genetically modified raw materials
The requirement applies to bio-based polymer in packaging that only consist of plastic and if the bio-based polymer makes up more than 10% of the packaging by weight.
• The use of genetically modified agricultural raw materials in the production of bio-based polymer packaging is prohibited.

• GMO based on bacteria or enzymes manufactured in closed systems is allowed.

• Secondary raw materials are exempted from the requirement, see O12 for a definition.

☐ Declaration from the manufacturer of the bio-based polymer that genetically modified raw materials are not used.

O14 Energy – bio-based polymers

The requirement applies to bio-based polymer in packaging that only consist of plastic and bio-based polymers that make up more than 10% of the packaging by weight. Requirement a) or b) must be fulfilled.

A) The manufacturer of the polymer (production plant) must be certified in line with ISO 50001.

or

B) The energy consumed in the production of the bio-based polymers must not exceed 50 MJ/kg polymer. The calculation of energy consumption must include all the processes from monomer production to finished polymer. Energy from cultivation and extraction of the raw material, transport of the raw material to the production site and the energy content of the actual raw material should not be included in the calculation.

Energy from both renewable and non-renewable energy sources must be included in the calculation.

☐ For alternative A) certificate showing that the manufacturer of the polymer (production plant) is certified in line with ISO 50001.

☐ For alternative B) information about electricity and fuel consumption and copy of invoice or confirmation of consumption from the supplier. State total kg polymer produced plus a calculation of total energy consumption in MJ/kg polymer produced. A description must be provided of how the energy consumption from the different sub processes is included in the calculation.

4 Requirements of chemical products and substances

Nordic Ecolabelling’s requirements concerning chemicals primarily concern chemical products and constituent substances in chemical products such as varnish, adhesives, and printing inks, and which are used in the production/assembly (conversion) of the packaging. Certain requirements also apply to the production of pulp and paper (O18, O20–O21) and polymers (O22–O24).

The requirement also concerns the finishing of packaging at the food producer, e.g. gluing of labels.

Primarily the chemicals producer delivering chemicals for packaging production is responsible for documenting that requirements of chemicals are fulfilled.

The requirement does not apply to:

• chemicals for the production and printing of secondary and tertiary packaging;
• date stamping and other codes (printed in conjunction with the date);
• auxiliary chemicals used in production, such as lubricants, cleaning chemicals, etc.; and
• chemicals in production of pulp/paperboard, as these must fulfil the requirements in Nordic Swan Ecolabelling of paper products – Chemicals Module, version 2 or later. Note that chemicals used in the production of pulp/paperboard must, however, fulfil the following requirements in this criteria document:
  o O18 which applies to dyes for printing and dyeing
  o O20 and O21 which includes addition of chemicals to pulp/board

Note that the requirements of additives in plastic (O22), residual monomers in polymers (O23) and chemicals – recycled plastics (O24) apply to the polymer manufacturer and refer to the requirements of chemicals (O15–O17).

Nordic Ecolabelling’s requirements concerning chemicals concern chemical products, e.g. the classification of printing ink, but can also concern individual requirements of constituent substances in chemical products, e.g. pigments in printing ink. The requirements apply to all constituent substances in the chemical product, but not to contaminants, unless otherwise specified in the requirement. Constituent substances and contaminants are defined as follows:

• **Constituent substances**: all substances in the chemical product, including additives (e.g. preservatives and stabilisers) from the raw materials. Known degradation products from constituent substances (e.g. formaldehyde, arylamine, in-situ generated preservatives) are also considered to be constituent substances.

• **Contaminants**: residual substances from production, including raw materials production, which are found in a raw material or the final chemical product, equivalent to concentrations ≤100 ppm (≤0.01% by weight, ≤100 mg/kg) in the chemical product. Examples of what is considered to be contaminants are residual concentrations of the following: reagents, including monomers catalysts, by-products, "scavengers", i.e. chemicals used to eliminate/minimise adverse substances, cleaning agents for production equipment, "carry-over" from other or previous production lines.

O15 **Classification of chemical products**

Chemical products used in the assembly (conversion) and finishing of primary packaging, such as printing inks, varnish and adhesives, must not be classified according to Table 4 below. The classification must be in accordance with current legislation (CLP Regulation 1272/2008 or later).

<table>
<thead>
<tr>
<th>Classification under CLP Regulation (EC) No 1272/2008</th>
<th>Hazard class</th>
<th>Category</th>
<th>Hazard code</th>
</tr>
</thead>
<tbody>
<tr>
<td>Hazardous to the aquatic environment</td>
<td>Aquatic Acute 1</td>
<td>Aquatic Acute 1-2</td>
<td>H400, H410, H411</td>
</tr>
<tr>
<td>Acute toxicity</td>
<td>Acute Tox. 1, 2</td>
<td>Acute Tox. 3</td>
<td>H330, H310, H300, H331, H301, H311</td>
</tr>
</tbody>
</table>
Specific target organ toxicity | STOT SE 1 | H370 |
<table>
<thead>
<tr>
<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>STOT RE 1</td>
<td>H372</td>
</tr>
</tbody>
</table>

Allergenic

<table>
<thead>
<tr>
<th></th>
<th>Resp. Sens. 1 or Skin Sens 1</th>
<th>H334</th>
</tr>
</thead>
</table>

Carcinogenic

|                     | Carc. 1A/1B Carc. 2 | H351 |

Germ cell mutagenicity

|                     | Muta. 1A/B Muta. 2 | H341 |

Reproductive toxicity

|                     | Repr. 1A/1B Repr. 2 | H362 |

The classifications in the Table concern all classification variants. For example, H350 also covers classification H350i.

The manufacturer of the chemical products is responsible for classification.

 Declaration from the producer of the chemical product in accordance with Appendix 4.

 Safety data sheet in accordance with the current statutory requirement in the country of application, e.g. Annex II to REACH (Council Regulation (EC) no. 1907/2006) for all chemical products.

O16 CMR substances

The chemical products used in the assembly (conversion) and finishing of primary packaging, e.g. printing inks, varnish, and adhesives, may not include substances (see definition above) that are classified as carcinogenic (Carc.), mutagenic (Muta.) or reprotoxic (Repr.) in accordance with CLP Regulation 1272/2008, see Table 5 below.

An exemption is made for formaldehyde in additives, see O19.

Table 5. Classification of CMR substances

<table>
<thead>
<tr>
<th>Classification under CLP Regulation (EC) No 1272/2008</th>
</tr>
</thead>
<tbody>
<tr>
<td>Hazard class</td>
</tr>
<tr>
<td>--------------</td>
</tr>
<tr>
<td>Carcinogenic</td>
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<tr>
<td>Germ cell mutagenicity</td>
</tr>
<tr>
<td>Reproductive toxicity</td>
</tr>
</tbody>
</table>

The classifications in the Table concern all classification variants. For example, H350 also covers classification H350i.

 Declaration from the producer of the chemical product in accordance with Appendix 4.

 Safety data sheet in accordance with the current statutory requirement in the country of application, e.g. Annex II to REACH (Council Regulation (EC) no. 1907/2006) for all chemical products.

O17 Other substances excluded from use

The following substances must not be constituent in the chemical products used in in the assembly (conversion) and finishing of primary packaging e.g. printing ink and adhesives,

- Substances on the EU Candidate List*. D4, D5 and D6 in silicone polymer have an own requirement, see O21.
- Substances evaluated by the EU to be PBT (persistent, bioaccumulative and toxic) or vPvB substances (very persistent and very bioaccumula-
Nordic Ecolabelling
Criteria document

Packaging for Liquid Foods

1. Substances considered to be potential endocrine disruptors in category 1 or 2 on the EU’s priority list of substances that are to be investigated further for endocrine disruptive effects. **

In addition, the following substances and substance groups may not be included. There may be overlap between the substances listed below and the substances or groups of substances listed above.

- Alkylphenol ethoxylates (APEO) and other alkylphenol derivatives (substances that release alkylphenols on degradation) excluding 2,4,6-tri-tert-butylphenol derivatives but not 2,4,6-tri-tert-butylphenol itself, note that other requirements should be met for these exempted substances.

- Phthalates***

- Bisphenol A, bisphenol F and bisphenol S

- Butylhydroxytoluene (BHT CAS 128-37-0)

There is an exemption for BHT up to 0.10% in solvent-based inks. The exemption ceases to exist if the substance fulfils one of the following during the validity of the criteria:

- BHT is given a harmonized classification affecting that the requirements for classification O15 and O16 are no longer met.

- BHT is included on the EU’s Candidate List.

- BHT is adopted to EU Endocrine Disruptor Lists I or III

- Substances that are added to create an antimicrobial or disinfectant effect in the packaging (e.g. nano silver)****

- Halogenated organic compounds. An exception is made for halogenated organic pigments that meet the European Council’s “Resolution AP (89) 1 on the use of colourants in plastic materials coming into contact with food”, point 2.5

- MIT (CAS 2682-20-4), CMIT / MIT (CAS 26172-55-4, 2682-20-4), except for MIT in concentrations below 100 ppm in e.g. printing inks.

* The Candidate List can be found on the ECHA website: http://echa.europa.eu/candidate-list-table


*** The prohibition does not include polyethylene terephthalate (PET).

**** An antimicrobial agent is a chemical/product that inhibits or stops growth of microorganisms such as bacteria, fungi or protozoa (single-celled organisms). Silver compounds, nano silver and nano gold are considered to be antimicrobial substances.

DECLARATION

- Declaration from the producer of the chemical product in accordance with Appendix 4.

- Safety data sheets according to prevailing European legislation for chemical products.
O18 Colourants for printing and dyeing
The requirement applies to colourants for printing, dyeing, and shading (regardless of material e.g. plastic, board).
All colourants used for printing, dyeing, and shading must be declared and safety data sheets for the products must be submitted. All colourants must meet the following requirements:

- Halogenated organic pigments must meet the European Council’s “Resolution AP (89) 1 on the use of colourants in plastic materials coming into contact with food”.

In addition, the following requirements apply:

- Colourants used for printing, dyeing, and shading must meet BfR’s (Federal Institute for Risk Assessment) recommendations: “IX. Colorants for Plastics and other Polymers Used in Commodities” or Swiss Ordinance 817.023.21 Annex 2 and 10.

Alternatively, colourants used for shading and/or dyeing of paper/paperboard/cardboard can meet the following requirement:

- BfR’s recommendation XXXVI. Paper and board for food contact, from July 2015 or more recent versions.

Declaration from the manufacturer of the colourant that the requirement is fulfilled. Appendix 4 may be used.

O19 Adhesives
Ethylene glycol ethers or rosin must not be ingoing substances in adhesives. The exception is modified rosin derivative which is not classified as allergenic.

Formaldehyde generated during the production process may amount to no more than 250 ppm (0.0250% by weight) measured in newly produced polymer dispersion*. The content of free formaldehyde in hardened adhesive must not exceed 10 ppm (0.001% by weight)**.

Hotmelt adhesives are exempted from the requirement to document formaldehyde.

Information on test methods and analysis laboratories is provided in Appendix 7.

* Measured using the VdL-RL 03 method “In-can concentration of formaldehyde determined by the acetyl-acetone method” or the Merckoquant method (see Appendix X of RAL-UZ 102), or some other equivalent method.

** Measured using the Merckoquant method (see Appendix X of RAL-UZ 102), or some other equivalent method.

Safety data sheet for the product. Declaration from the adhesive producer that the requirement is fulfilled. Appendix 4 may be used. Results of analysis of the formaldehyde content of the adhesive.

O20 Optical brighteners and antimicrobial agents
Optical brighteners shall not be added in paper and paperboard.
Chemicals intended to provide antimicrobial effect in paper and paperboard shall not be added.

An antimicrobial chemical is a chemical which prevents or stops the growth of microorganisms such as bacteria, mould, or protozoa (unicellular organisms). Silver compounds, nano silver and nano gold are considered to be antimicrobial substances.

Declaration from the pulp/paperboard manufacturer stating that the requirement is fulfilled.
O21 Coatings and impregnations

Liquid-proof and grease-roof properties in the packaging must not be based on chromium or fluorinated compounds used for coating/impregnating/mixing into the pulp/paper/board/packaging.

The following requirements apply to the silicone treatment of packaging or parts thereof:

- Solvent-based silicone coatings must not be used.
- Octamethylcyclotetrasiloxane, D4 (CAS 556-67-2), decamethylcyclopentasiloxane, D5 (CAS 541-02-6) and dodecamethylcyclohexasiloxane, D6 ((CAS 540-97-6) must not be present in the chemical products used for silicone treatment. The requirement does not apply to D4, D5 and D6 contained as impurities*.
- Organotin catalysts must not be used in the production of the silicone polymer.

* Impurity refers to residues from primary production which may be found in the commercial product at concentrations below 800 ppm (0.08% by weight, 800 mg/kg). Finished commercial product refers to the silicone emulsion’s coating bath.

.nextElement

☑ Declaration from the chemical supplier that chromium or fluorinated compounds are not ingoing substances in the coating/impregnation chemicals. Appendix 4 may be used. Safety data sheet for the product.

☑ Declaration from the manufacturer of the pulp/paper/paperboard that no chromium or fluorinated compounds were added in the production of the pulp or paper/paperboard.

☑ Declaration from the chemical supplier stating that the requirement for silicone treatment is fulfilled. Declaration from the chemical supplier that octamethylcyclotetrasiloxane, D4, and/or decamethylcyclopentasiloxane, D5, and/or dodecamethylcyclohexasiloxane, D6, are not present in the chemical products used for silicone treatment in concentrations above 800 ppm. State the amount of D4, D5 and D6. Appendix 4 may be used.

O22 Additives in plastic

Additives in plastic, such as stabilisers, antioxidants, plasticisers, colourants/pigments and fillers (except for inorganic fillers) must meet the requirement concerning classification of chemical products, O15, and the requirements concerning ingoing substances in the chemical products, O16 and O17.

☑ Declaration from the plastic manufacturer of the additives used in plastic. Safety data sheet for the additive and the declaration that the requirement is fulfilled. Appendix 4 and 6 shall be used. The plastic manufacturer can send this information directly to Nordic Ecolabelling.

O23 Residual monomers in polymers

In the primary packaging, residual monomers that have a classification listed in Table 6 below shall only be present in the polymer to a maximum of 100 ppm per polymer. The amount can be maximum 100 ppm for each classification. The content of residual monomers must be measured on the newly produced polymer.
Table 6. Classification of CMR substances

<table>
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<tr>
<th>Classification under CLP Regulation (EC) No 1272/2008</th>
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<tr>
<td>Reproductive toxicity</td>
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</tbody>
</table>

- Declaration from the polymer manufacturer that the content is no more than 100 ppm. Appendix 6 may be used.

O24 Chemicals – recycled plastics
Phthalates, bisphenol A, F and S and styrene must not be present in the recycled plastic. This may be documented using a test of the recycled material, or documentation of full traceability can be used to show that these substances are not present.
Additives in recycled plastic must meet requirements O15, O16 and O17.
For test methods, see Appendix 7.
- Test or documentation showing that the recycled plastic contains no phthalates, bisphenol A, F and S or styrene.
- Declaration from the plastic manufacturer of the additives used in recycled plastic. Safety data sheet for the additive and declaration that additives meet requirements O15, O16 and O17. Appendix 4 shall be used. The plastic manufacturer can send this information directly to Nordic Ecolabelling.

5 Quality and regulatory requirements
Requirements of quality and regulatory are to ensure compliance with Nordic Ecolabelling’s requirements for the packaging throughout the term of validity of the licence.

O25 Responsible person and organisation
The company will appoint individuals who are responsible for ensuring the fulfilment of the Nordic Swan Ecolabelling requirements, for marketing and for finance, as well as a contact person for communication with Nordic Ecolabelling.
- Organisational chart showing who is responsible for the above.

O26 Documentation
The producer must archive the documentation that is sent in with the application, or in a similar way maintain information in the Nordic Ecolabelling data system.
- This is checked on site as necessary.

O27 Quality of the packaging
The producer must guarantee that the quality of the Nordic Swan Ecolabelled packaging does not deteriorate during the term of validity of the licence.
- The claims archive is checked on site.
O28  Planned changes
Written notice of planned product and marketing changes that affect fulfilment of the Nordic Swan Ecolabelling requirements must be notified in writing to Nordic Ecolabelling.
☑ Procedures detailing how planned product and marketing changes are handled.

O29  Unforeseen non-conformities
A written report on any unforeseen non-conformities that affect fulfilment of the Nordic Swan Ecolabelling requirements must be submitted to Nordic Ecolabelling and logged.
☑ Procedures describing how unforeseen non-conformities will be handled.

O30  Traceability
The producer must be able to trace the Nordic Swan Ecolabelled packaging in their production.
☑ Description of/procedures for fulfilment of the requirement.

O31  Laws and regulations
The producer must ensure compliance with all relevant applicable local laws and provisions at all production facilities for the Nordic Swan Ecolabelled product, e.g. with regard to safety, working environment, environmental legislation and facility-specific terms/concessions.
☑ The requirement is controlled on-site.

O32  Subsuppliers
The producer must ensure that all sub suppliers and external processors engaged for the production of packaging that is to carry the Nordic Swan Ecolabel fulfil the requirements relevant for their activities, as specified in the criteria.
☑ Documentation to show that the requirement is fulfilled.
Regulations for the Nordic Ecolabelling of products

Packaging for liquid foods is not a traditional Nordic Swan Ecolabelled product, since here it is the actual packaging that is Nordic Swan Ecolabelled, and not the product inside the packaging. To make this clear to the consumers, a special label for Nordic Ecolabelled packaging for liquid foods must be used. Guidelines for the use and placement of the label have also been developed and can be found at https://www.svanen.se/en FOR-licensees/. When the Nordic Swan Ecolabel is used the licence number shall be included.

Packaging for liquid foods is not a traditional Nordic Swan Ecolabelled product, since here it is the actual packaging that is Nordic Swan Ecolabelled, and not the product inside the packaging. To make this clear to the consumers, a special label for Nordic Ecolabelled packaging for liquid foods must be used. Guidelines for the use and placement of the label have also been developed. Graphical guidelines for Nordic Swan Ecolabelled packaging for liquid food can be found at www.svanen.se/en/for-licensees/communicate-nordic-swan-ecolabel/graphical-guidelines/.

When the Nordic Swan Ecolabel is used the licence number shall be included.

More information on regulations and fees can be found at www.nordic-swan-ecolabel.org/regulations.

Follow-up inspections

Nordic Ecolabelling may decide to check whether packaging fulfils Nordic Ecolabelling requirements during the licence period. This may involve a site visit, random sampling, or similar test.

The licence may be revoked if it is evident that packaging for liquid foods does not meet the requirements.

Random samples may also be taken in-store and analysed by an independent laboratory. If the requirements are not met, Nordic Ecolabelling may charge the analysis costs to the licensee.

Version history of the criteria

Nordic Ecolabelling adopted version 1.0 of the criteria for Packaging for Liquid Foods on 15 June 2018. The criteria are valid until 30 June 2022.

On 7 November 2018, Nordic Ecolabelling adopted a ban on straws made of plastic and on 4 February 2019, D6 was added to requirement O21 Coatings and impregnations regarding silicone treatment since this substance was included on the Candidate List. At the same time, reference is made to requirement O17 Topics, substances on the Candidate List to requirement O21. On 22 February 2019, a new requirement on the weight / volume ratio of disposable glass bottles, O5 Packaging design was decided. The new version is called 1.0.
On 4 June 2019 Nordic Ecolabelling decided that date stamping and other codes (printed with date) are exempted from the requirement for chemicals. The new version is called 1.1.

On 10 December 2019 Nordic Ecolabelling decided to clarify requirement O4. Furthermore, non-intentionally added substances shall be subject to a documented risk assessment and finished primary packaging shall regularly be assessed for migration of intentionally and non-intentionally added substances.

On 17 January 2020 Nordic Ecolabelling decided that BHT up to 0.10% in solvent-based inks is exempted from requirement O17.

On 5 May 2020 Nordic Ecolabelling decided to change the documentation requirement for colourants used for printing shading and/or dyeing. The requirement can now be fulfilled with reference to Swiss Ordinance 817.023.21 Annex 2 and 10 and colourants used for shading and/or dyeing of paper/paperboard/cardboard can fulfil the requirement with reference to BfR’s recommendation XXXVI. Paper and board for food contact, from July 2015 or more recent versions. The new version is called 1.2.

On 1 September 2020 Nordic Ecolabelling adjusted the conditions for the exemption of BHT from requirement O17. Furthermore, on 13 October 2020 it was decided to prolong the criteria with 24 months to the 30 June 2024. The new version is called 1.3.

On 17 December 2020 Nordic Ecolabelling decided that caps/stoppers in plastic packaging that are recycled via the deposit-refund system for bottles are exempted from the ban on black colour (requirement O7). At the same time, updated requirement for tree species with restricted use in Nordic Swan Ecolabelled products was introduced on requirement O11 Fibre raw material. The new version is called 1.4.

On 29 November 2022, Nordic Ecolabelling decided to prolong the validity of the criteria with 18 months to 31 December 2025. Simultaneously, adjustment approved 29 June 2021, regarding uncoloured glass jars glas (O2) and disposable glass jars was introduced to the O5 and the exemption of BHT, approved 28 February 2022, was updated in the requirement O17. The new version is called 1.5.

On 12 December 2023, Nordic Ecolabelling decided to prolong the validity of the criteria with 12 months to 31 December 2026. The new version is called 1.6.
Terms and definitions

<table>
<thead>
<tr>
<th>Term</th>
<th>Explanation or definition</th>
</tr>
</thead>
<tbody>
<tr>
<td>Bio-based</td>
<td>Bio-based means that the material consists of biomass that may have undergone physical, chemical or biological treatment(s). Biomass has a biological origin, but excludes material that is found embedded in geological and/or fossil formations. Examples of biomass are: (all or parts of) plants, trees, algae, marine organisms, microorganisms, animals, etc.</td>
</tr>
<tr>
<td><strong>Bio-based polymer/plastic</strong></td>
<td>Polymer/plastic that is fully or partly based on biomass. The definition of bio-based polymer/plastic is based on the definitions in the European standard EN 16575:2014 and also includes secondary raw materials in the Nordic Ecolabelling's Criteria. Calculation principles for the proportion of bio-based are specified in the requirement O2.</td>
</tr>
<tr>
<td>Blanks</td>
<td>Preform of packaging. Preforms are delivered from the packaging manufacturer to the food manufacturer for further processing as a filling. See also conversion.</td>
</tr>
<tr>
<td>Bonsucro</td>
<td>Bonsucro (formerly the Better Sugar Cane Initiative, BSI) comprises guidelines for the sustainable production and processing of sugar cane. The first version of the Bonsucro criteria was adopted and published on 27 June 2010.</td>
</tr>
<tr>
<td>Component:</td>
<td>A component is one or more materials and/or chemical products which together fulfil a required function in packaging production. Examples of components are laminated paperboard or plastic screw tops.</td>
</tr>
<tr>
<td>Conversion</td>
<td>Conversion is the manufacturing phases in which e.g. rolls of liquid packaging board are processed into final products (packaging in which food is packaged). In the case of beverage cartons, conversion comprises phases whereby paperboard roll is processed into blanks, including coating, printing and cutting. According to these criteria, relevant elements of food filling may also be included.</td>
</tr>
<tr>
<td>Full traceability (for bio-based polymers):</td>
<td>Full traceability means that there is control of the renewable raw material throughout the production process, such as by using a separate production line solely for renewable raw materials, so that the final polymer solely comprises renewable raw materials.</td>
</tr>
<tr>
<td>Mass balance method (for bio-based polymers):</td>
<td>The mass balance method means a mix of fossil and renewable raw materials at the start of the...</td>
</tr>
</tbody>
</table>
production process, with mathematical allocation of the renewable raw material to the final polymer. This entails that there is no full traceability of the renewable raw material throughout the production process, and that the amount of renewable raw material in the final polymer can vary.

Material
Examples of material that may be included in packaging: paper, paperboard, glass, synthetic polymers such as PLA, PP, PE, and PET, as well as aluminium.

Intermediate product
In these criteria, intermediate products are packaging that does not contain packaged food. See also the definition of product.

NIAS
Non-intentionally added substances (NIAS). Substances not added intentionally.

MSA
My Swan Account. Nordic Ecolabelling’s web-based application guide for paper and paperboard manufacturers. The tool also applies to pulp and chemicals producers wishing to have their products controlled by Nordic Ecolabelling.

Packaging
According to the EU Directive on packaging and packaging waste, 94/62/EC, packaging is all products made from material of any type and which is used to contain, protect, handle, deliver and present products, from raw material to final product, and from producer to user and consumer. Disposable items used for the same purpose are also considered to be packaging. Norway is not an EU member state but is subject to the EEA agreement. See also primary, secondary, and tertiary packaging.

Primary packaging
Consumer packaging, i.e. packaging conceived so as to constitute a sales unit to the final user or consumer at the point of sale. According to these criteria, primary packaging may be Nordic Swan Ecolabelled.

Product
In contrast to Nordic Ecolabelling’s other criteria, the term product not only refers to the Nordic Swan Ecolabelled packaging, but also the packaged food and its packaging.

Renewable raw material
A renewable raw material is defined as a raw material (primary or secondary) originating from biological material which is renewed continuously in nature within the immediate future, such as cereals and wood (European standard EN 16575:2014).

Secondary raw materials
Secondary raw materials are defined as residual products from other production and manufacturing processes, such as waste products from the food industry, or by-products such as straw from cereal production or bagasse from sugar cane production.
PFAD from palm oil is not counted as a residual/waste product.

Secondary packaging
Group packaging, i.e. packaging that is designed in such a way that at the point of sale they comprise a group of a certain number of sales units, irrespective of whether they are sold as such a group to the final user or consumer or are solely used to complement the shelves at the point of sale. Secondary packaging can be removed from the product without this affecting the product’s characteristics.

Tertiary packaging
Transport packaging, i.e. packaging that is designed in such a way that it supports the handling and transport of a number of sales units or group packaging in order to prevent damage from physical handling or transport damage. Transport packaging does not include road, rail, ship and air freight containers.

Auxiliary component
Components which belong to the packaging, but which are not directly necessary to wrap the food. Examples of auxiliary components are labels, straws or corrugated cardboard packaging/paperboard as in a bag-in-box to support a bag of plastic.

RSPO
Roundtable on Sustainable Palm Oil (RSPO) was created by organisations involved in the entire palm oil supply chain. The standard comprises eight principles and 39 criteria for sustainable palm oil production. The criteria comprise social, economic, organic and general aspects. There is great interest in the system and several companies have been granted certificates.

RTRS
Roundtable on Responsible Soy (RTRS) is initiated by operators from the entire soya production and distribution chain. The first version of the criteria was adopted and published on 10 June 2010.

Recycled material
Recycled material is defined in accordance with ISO 14021 in the following two categories.

Material in the pre-consumer phase. Material that has been taken from the waste flow during the manufacturing process. The exception is the re-use of material that is generated in a process, e.g. waste that can be recycled within the same process that generated it.

Material in the post-consumer phase. Material generated by households or by trade, industry, or institutional facilities in their role as end-users of a product that can no longer be used for its intended purpose. This includes the return of materials from the distribution chain.
Appendix 1  Declaration Information about the food and its packaging

To be used in conjunction with an application for a licence for the Nordic Swan Ecolabelling of Packaging for Liquid Foods.

Declaration for requirement O1 is completed by the food producer.

*The food producer is the trademark owner of the pre-packaged food. Product is the packaged food and its packaging.*

<table>
<thead>
<tr>
<th>Food producer</th>
<th>Type of product (e.g. milk, juice)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Trademark/trade name of the product including volume units

**Primary packaging**

Please state information concerning the primary packaging*.

<table>
<thead>
<tr>
<th>Packaging manufacturer</th>
<th>Trade name of primary packaging:</th>
<th>Type of primary packaging, e.g. bottle, stopper, label</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

*Primary packaging is consumer packaging, i.e. packaging conceived so as to constitute a sales unit to the final user or consumer at the point of sale.*

**Chemical products**

Are chemical products used in finishing of packaging at the food producer that are not included in the aforementioned primary packaging? An example of these phases could be toner used for date labelling.

☐ Yes  ☐ No

If yes, please specify:

<table>
<thead>
<tr>
<th>Chemical products</th>
<th>Manufacturer</th>
<th>Function</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Safety data sheet in accordance with Annex II to REACH (Council Regulation (EC) no. 1907/2006 can be included.

**Packaging system**

State information concerning the packaging system. State the manufacturer, trade name and material used for the secondary** and tertiary packaging*** or other elements**** which accompany the primary packaging on marketing to end-consumers.

<table>
<thead>
<tr>
<th>Packaging manufacturer</th>
<th>Trade name on the packaging:</th>
<th>Type of packaging/elements</th>
<th>Material</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
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</tbody>
</table>

**Secondary packaging is group packaging that can be removed from the product without this affecting the product’s characteristics.

*** Tertiary packaging is transport packaging which supports handling and transport.

**** Other elements are components which belong to the packaging, but which are not directly necessary to wrap the food. An example of an auxiliary component is a straw.

Are other production phases or suppliers used that are not included in the previously mentioned primary packaging or packaging system?

☐ Yes  ☐ No

If yes, please specify:

<table>
<thead>
<tr>
<th>Manufacturer</th>
<th>Trademark/trade name</th>
<th>Type</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
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</tbody>
</table>

**Signature of manufacturer of food**

<table>
<thead>
<tr>
<th>Place and date</th>
<th>Company name/stamp</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Person responsible</th>
<th>Signature of the person responsible (electronic signature is accepted)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
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</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Telephone</th>
<th>E-mail</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
</tr>
</tbody>
</table>
Appendix 2  Information concerning primary packaging

To be used in conjunction with an application for a licence for the Nordic Swan Ecolabelling of Packaging for Liquid Foods.

Declaration for requirements O1, O2 and O6 is completed by the packaging manufacturer.

<table>
<thead>
<tr>
<th>Packaging manufacturer</th>
<th>Trademark/trade name of the primary packaging:</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**Materials excluded from use**

Does the packaging include metal*?  ☐ Yes  ☐ No

Does the packaging include recycled pulp/paper/paperboard?  ☐ Yes  ☐ No

Does the packaging include PVC or PVDC?  ☐ Yes  ☐ No

* Aluminium and other metals shall not be used. Exception is the packaging which solely comprises aluminium. The requirement does not apply threaded metallic seals or foil that can be removed completely at the opening of the packaging or when the packaging is emptied and sorted into metal recycling.

**Description of the manufacturing process**

Give a description of the manufacturing/production process, including conversion, for the product. Manufacturing/production process is a specification of constituent materials and their suppliers, a description of how the final product is assembled (if it consists of several materials), and whether sub suppliers are used for e.g. printing.

Table 1 below can be used to specify sub suppliers and the production process which they perform.

**Table 1 Sub suppliers**

<table>
<thead>
<tr>
<th>Name of sub supplier</th>
<th>Production site</th>
<th>Contact person</th>
<th>Production process</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
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<td></td>
<td></td>
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<td></td>
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</tr>
</tbody>
</table>

Packaging for Liquid Foods
Constituent materials

In Table 2, constituent materials in the packaging and any other accompanying elements* must be stated. The supplier/producer of the various materials must be stated. The weight of the individual material, and percent by weight in relation to the total weight of the packaging, must also be stated.

In the calculation, additives and coatings are considered to be constituent materials, but not other chemicals such as printing inks or mineral chemicals. A product data sheet or similar for constituent materials must be enclosed as part of the documentation.

* "Other elements" are components which belong to the packaging, but which are not directly necessary to wrap the food. Examples of "other elements" are supplementary components such as straws.

Table 2 Overview of materials, suppliers and volumes

<table>
<thead>
<tr>
<th>Material</th>
<th>Function</th>
<th>Supplier/producer of the material</th>
<th>Weight (grams or kg) of the material</th>
<th>% by weight of the material as a ratio of the total weight of the packaging</th>
<th>Is the material bio-based? State Yes/No</th>
</tr>
</thead>
</table>

Total weight in grams | 100 %

Ratio of bio-based material/recycled material in the packaging:

__________________________________________

Chemical products on conversion

Were chemical products (e.g. printing inks, varnish, adhesives) used on conversion?  

☐ Yes  ☐ No

*Conversion is the production/assembly and finishing of primary packaging, including coating, printing and cutting.*
If yes, specify which (e.g. printing inks, adhesives)

<table>
<thead>
<tr>
<th>Chemical products</th>
<th>Function</th>
<th>Manufacturer</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
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<tr>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Declaration of the production chemicals used. Safety data sheet in accordance with Annex II to REACH (Council Regulation (EC) no. 1907/2006) can be included.

<table>
<thead>
<tr>
<th>Place and date</th>
<th>Company name/stamp</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
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</tbody>
</table>

<table>
<thead>
<tr>
<th>Person responsible</th>
<th>Signature of the person responsible (electronic signature is accepted)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
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</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Telephone</th>
<th>E-mail</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
</tr>
</tbody>
</table>
Appendix 3    Wood material in pulp

To be used in conjunction with an application for a licence for the Nordic Swan Ecolabelling of Packaging for Liquid Foods.

Declaration for requirement O11 is completed by the pulp producer.

<table>
<thead>
<tr>
<th>Pulp producer</th>
<th>Pulp name:</th>
</tr>
</thead>
</table>

Wood species used
State the name (wood variety/species name) of the wood raw materials used in pulp:

___________________________________________________________________

Restricted wood varieties
Are tree species listed in the list of restricted tree species* used in the pulp?    ☐ Yes    ☐ No

* The list of restricted tree species is located on the website: https://www.nordic-swan-ecolabel.org/pulp-paper-declaration-portal/what-can-be-declared/forestry-requirements/forestry_requirements_2020/

The list consists of tree species listed on:
  a) CITES (Appendices I, II and III)
  b) IUCN red list, categorized as CR, EN and VU
  c) Rainforest Foundation Norway’s tree list
  d) Siberian larch (originated in forests outside the EU)

Exemptions
Eucalyptus and Acacia used for pulp and paper production is exempted from the list. Regarding pulp, fibre raw material from eucalyptus/acacia must be a minimum of 70% certified.

Tree species listed on either b), c) or d) may be used if it meets all of the following requirements:

- the tree species does not originate from an area/region where it is IUCN red listed, categorized as CR, EN or VU.
- the tree species does not originate from Intact Forest Landscape (IFL), defined in 2002 http://www.intactforests.org/world.map.html.
- the tree species shall originate from FSC or PEFC certified forest/plantation and shall be covered by a
valid FSC/PEFC chain of custody certificates documented/controlled as FSC or PEFC 100% through the FSC transfer method or PEFC physical separation method. Tree species grown in plantation shall in addition originate from FSC or PEFC certified forest/plantation, established before 1994.

Nordic Ecolabelling may request further information if there is any doubt concerning specific wood varieties.

If tree species exempted on the list of restricted tree species are used:
Eucalyptus/acacia pulps
Regarding eucalyptus/acacia pulps, state the share of certified fibre raw material in the pulp:

________________________________________________________________________

If species from the lists b), c) or d) is used:

Please send in the following documentation for the tree species used:

☑️ A valid FSC/PEFC Chain of Custody certificate from the supplier or manufacturer of the Nordic Swan Ecolabelled product of the wood that covers the specific tree species and demonstrate that the tree is controlled as FSC or PEFC 100% through the FSC transfer method or PEFC physical separation method.

☑️ The applicant/manufacturer/supplier are required to document full traceability back to the forest/certified forest unit thereby demonstrating that:

- the tree does not originate from an area/region where it is IUCN red listed, categorized as CR, EN or VU;
- the tree species does not originate from Intact Forest Landscape (IFL), defined in 2002 [http://www.intactforests.org/world.webmap.html](http://www.intactforests.org/world.webmap.html);
- For plantations the applicant/manufacturer/supplier are required to document that the tree species does not originate from FSC or PEFC certified plantations established after 1994

**Pulp producer's signature**

<table>
<thead>
<tr>
<th>Place and date</th>
<th>Company name/stamp</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Person responsible</th>
<th>Signature of person responsible</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Tel. no.</th>
<th>E-mail</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
</tr>
</tbody>
</table>
Appendix 4  Declaration chemicals

To be used in conjunction with an application for a licence for the Nordic Swan Ecolabelling of Packaging for Liquid Foods.

This declaration must be used to document Nordic Ecolabelling’s requirements of chemicals and is intended for chemical manufacturers/suppliers.

Requirements O15, O16 and O17 apply to all chemical products (part A) used in the production/assembly (conversion*) of primary packaging.

Requirement O18, O20 and O21 are specific for certain chemical types (part B) that are used in production of pulp and paper and in the assembly (conversion) and finishing of primary packaging. Requirement O19 applies to adhesives. Requirement O21 is applied to D4, D5 and D6 in silicone polymers.

Complete the relevant parts of the declaration. Also enclose the safety data sheet for the chemical product, in accordance with applicable European legislation.

*Nordic Ecolabelling’s requirements concerning chemicals primarily concern chemical products and constituent substances in chemical products such as adhesives and printing inks, and which are used in the production/assembly (conversion) of the packaging.

The form must be completed and signed by the chemical’s producer, based on the knowledge held at the relevant time, according to information from raw materials producers/suppliers, recipes and available knowledge of the chemical product, with reservation for development and new knowledge. Should such new knowledge arise, the undersigned is obliged to submit an updated declaration to Nordic Ecolabelling.

<table>
<thead>
<tr>
<th>Name of the chemical product</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Manufacturer</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Type of chemical product (e.g. adhesive, printing ink)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
</tr>
</tbody>
</table>

The requirements apply to all constituent substances, but not to contaminants, unless otherwise specified in the individual requirements. Constituent substances and contaminants are defined below.

**Constituent substances:** all substances in the chemical product, including additives (e.g. preservatives and stabilisers) from the raw materials. Known degradation products from constituent substances (e.g. formaldehyde and arylamine) are also considered to be constituent substances.

**Contaminants:** residual substances from production, including raw materials production, which are found in a raw material or the final chemical product, equivalent to concentrations ≤100 ppm (≤0.01% by weight, ≤100 mg/kg) in the chemical product. Examples of what is
considered to be contaminants are residual concentrations of the following: reagents including monomers, catalysts, by-products, "scavengers" (i.e. chemicals used to eliminate/minimise adverse substances), cleaning agents for production equipment, and "carry-over" from other or previous production lines.

Part A, requirements **O15**, **O16** and **O17** for all chemical products

**O15 Chemical products, classification**

Is the chemical product classified in accordance with the table below?  
☐ Yes  ☐ No

If yes, which classifications?

<table>
<thead>
<tr>
<th>Classification under CLP Regulation (EC) No 1272/2008</th>
<th>Hazard class</th>
<th>Category</th>
<th>Hazard code</th>
</tr>
</thead>
<tbody>
<tr>
<td>Hazardous to the aquatic environment</td>
<td>Aquatic Acute 1</td>
<td>H400</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Aquatic Chronic 1-2</td>
<td>H410, H411</td>
<td></td>
</tr>
<tr>
<td>Acute toxicity</td>
<td>Acute Tox. 1, 2</td>
<td>H330, H310, H300</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Acute Tox. 3</td>
<td>H331, H301, H311</td>
<td></td>
</tr>
<tr>
<td>Specific target organ toxicity</td>
<td>STOT SE 1</td>
<td>H370</td>
<td></td>
</tr>
<tr>
<td></td>
<td>STOT RE 1</td>
<td>H372</td>
<td></td>
</tr>
<tr>
<td>Allergenic</td>
<td>Resp. Sens. 1 or Skin Sens 1</td>
<td>H334</td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td>H317</td>
<td></td>
</tr>
<tr>
<td>Carcinogenic</td>
<td>Carc. 1A/1B</td>
<td>H350</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Carc. 2</td>
<td>H351</td>
<td></td>
</tr>
<tr>
<td>Germ cell mutagenicity</td>
<td>Muta. 1A/B</td>
<td>H340</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Muta. 2</td>
<td>H341</td>
<td></td>
</tr>
<tr>
<td>Reproductive toxicity</td>
<td>Repr. 1A/1B</td>
<td>H360, H361</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Repr. 2</td>
<td>H362</td>
<td></td>
</tr>
</tbody>
</table>

The classifications in the Table concern all classification variants. For example, H350 also covers classification H350i.

**O16 CMR substances**

<table>
<thead>
<tr>
<th>Does the product include substances, classified in accordance with below?</th>
<th>Carc. 1A or 1B H350</th>
<th>☐ Yes ☐ No</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Carc. 2 H351</td>
<td>☐ Yes ☐ No</td>
</tr>
<tr>
<td></td>
<td>Muta. 1A or 1B H340</td>
<td>☐ Yes ☐ No</td>
</tr>
<tr>
<td></td>
<td>Muta. 2 H341</td>
<td>☐ Yes ☐ No</td>
</tr>
<tr>
<td></td>
<td>Repr. 1A or 1B H360</td>
<td>☐ Yes ☐ No</td>
</tr>
<tr>
<td></td>
<td>Repr 2 H361</td>
<td>☐ Yes ☐ No</td>
</tr>
<tr>
<td></td>
<td>H362 (Reprotoxic, effects on or via breastfeeding, supplementary category)</td>
<td>☐ Yes ☐ No</td>
</tr>
</tbody>
</table>
### Other substances excluded from use

<table>
<thead>
<tr>
<th>Does the product contain any of the following substances?</th>
<th>☐ Yes</th>
<th>☐ No</th>
</tr>
</thead>
<tbody>
<tr>
<td>Substances on the EU Candidate list, see the ECHAs website: <a href="http://echa.europa.eu/candidate-list-table">http://echa.europa.eu/candidate-list-table</a></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Substances evaluated by the EU to be PBT (persistent, bioaccumulative and toxic) or vPvB substances (very persistent and very bioaccumulative), in accordance with the criteria in Appendix XIII of REACH and substances that have not yet been evaluated but which meet these criteria.</td>
<td>☐ Yes</td>
<td>☐ No</td>
</tr>
<tr>
<td>Substances considered to be potential endocrine disruptors in category 1 or 2 on the EU’s priority list of substances that are to be investigated further for endocrine disruptive effects: <a href="http://ec.europa.eu/environment/chemicals/endocrine/strategy/being_en.htm">http://ec.europa.eu/environment/chemicals/endocrine/strategy/being_en.htm</a></td>
<td>☐ Yes</td>
<td>☐ No</td>
</tr>
<tr>
<td>Phthalates. The prohibition does not include polyethylene terephthalate (PET).</td>
<td>☐ Yes</td>
<td>☐ No</td>
</tr>
<tr>
<td>Alkylphenol ethoxylates (APEO) and other alkylphenol derivatives (substances that release alkylphenols on degradation), excluding 2,4,6-tri-tert-butylphenol derivatives but not 2,4,6-tri-tert-butylphenol itself.</td>
<td>☐ Yes</td>
<td>☐ No</td>
</tr>
<tr>
<td>Halogenated organic compounds. An exception is made for: halogenated organic pigments that meet the European Council’s “Resolution AP (89) 1 on the use of colourants in plastic materials coming into contact with food”, point 2.5.</td>
<td>☐ Yes</td>
<td>☐ No</td>
</tr>
<tr>
<td>Butylhydroxytoluene BHT (CAS 128-37-0) There is an exemption for BHT up to 0.10% in solvent-based inks. The exemption ceases to exist if the substance fulfils one of the following during the validity of the criteria: o BHT is given a harmonized classification affecting that the requirements for classification O15 and O16 are no longer met. o BHT is included on the EU’s Candidate List. o BHT is adopted to EU Endocrine Disruptor Lists I or III</td>
<td>☐ Yes</td>
<td>☐ No</td>
</tr>
<tr>
<td>Bisphenol A, bisphenol F and bisphenol S</td>
<td>☐ Yes</td>
<td>☐ No</td>
</tr>
<tr>
<td>Antimicrobial agents (e.g. nano silver), substances that are added to create an antimicrobial or disinfectant effect in the packaging. An antimicrobial chemical is a chemical which prevents or stops the growth of microorganisms such as bacteria, mould or protozoa (unicellular organisms).</td>
<td>☐ Yes</td>
<td>☐ No</td>
</tr>
<tr>
<td>MIT (CAS 2682-20-4), CMIT / MIT (CAS 26172-55-4, 2682-20-4), an exception is made for MIT in concentrations below 100 ppm in e.g. printing inks.</td>
<td>☐ Yes</td>
<td>☐ No</td>
</tr>
</tbody>
</table>

### Part B Requirements specifically for certain types of chemicals

**O18 Colourants for printing and dyeing**

<table>
<thead>
<tr>
<th>Requirement for colourants for printing and dyeing are applied to all materials (e.g. paper, board, plastic)</th>
<th>☐ Yes</th>
<th>☐ No</th>
</tr>
</thead>
<tbody>
<tr>
<td>Do the colorants fulfil the recommendations of BfR (Federal Institute for Risk Assessment): &quot;IX. Colorants for Plastics and other Polyomers Used in Commodities&quot; or Swiss Ordinance 817.023.21 Annex 2 and 10 or Swiss Ordinance 817.023.21 Annex 2 and 10??</td>
<td>☐ Yes</td>
<td>☐ No</td>
</tr>
<tr>
<td>Do the colourants used for dyeing and/or shading of paper/paperboard/cardboard meet BfR’s recommendation XXXVI. Paper and board for food contact, from July 2015 or more recent versions?</td>
<td>☐ Yes</td>
<td>☐ No</td>
</tr>
</tbody>
</table>

**Halogenated organic pigments**

<table>
<thead>
<tr>
<th>Does the product contain halogenated organic pigments?</th>
<th>☐ Yes</th>
<th>☐ No</th>
</tr>
</thead>
<tbody>
<tr>
<td>If yes, do halogenated organic pigments fulfil the requirements in the Council of Europe’s resolution: “Resolution AP (89) 1 on the use of colourants in plastic materials coming into contact with food”</td>
<td>☐ Yes</td>
<td>☐ No</td>
</tr>
</tbody>
</table>
O19 Adhesives - constituent substances

<table>
<thead>
<tr>
<th>Adhesives</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Are ethylene glycol ethers included in the adhesives?</td>
<td>☐ Yes ☐ No</td>
</tr>
<tr>
<td>Is rosin included in the adhesives? The exception is modified rosin derivatives that are not classified as allergenic.</td>
<td>☐ Yes ☐ No</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Formaldehyde</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Is the adhesive hotmelt? Note that hotmelt adhesives are exempted from the requirement to document formaldehyde.</td>
<td>☐ Yes ☐ No</td>
</tr>
<tr>
<td>Is the content of formaldehyde generated during the production process maximum 250 ppm (0.0250% by weight) in the adhesive, measured by newly produced polymer dispersion?</td>
<td>☐ Yes ☐ No</td>
</tr>
<tr>
<td>Measured by the VdL-RL 03 method “In-can concentration of formaldehyde determined by the acetyl-acetone method” or the Merckoquant method (see Annex x to RAL-UZ 102), alternatively another equivalent method.</td>
<td></td>
</tr>
<tr>
<td>Is the content of formaldehyde in the hardened adhesive maximum 10 ppm (0.001% by weight)? Measured using the Merckoquant method (see Appendix X of RAL-UZ 102), or some other equivalent method.</td>
<td>☐ Yes ☐ No</td>
</tr>
</tbody>
</table>

Enclose results of analysis of the formaldehyde content of the adhesive.

O21 Coatings and impregnations

<table>
<thead>
<tr>
<th>Liquid-proof and grease-proof properties in the pulp/paper/board/packaging must not be based on chromium or fluorinated compounds. Does the product used for coating/impregnating/mixing into the pulp/paper/board/packaging contain any of following</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Chromium compounds?</td>
<td>☐ Yes ☐ No</td>
</tr>
<tr>
<td>Fluorinated compounds?</td>
<td>☐ Yes ☐ No</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Silicone treatment</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Is the product used for silicone treatment of pulp/paper/board/packaging?</td>
<td>☐ Yes ☐ No</td>
</tr>
<tr>
<td>If yes, is the product solvent-based?</td>
<td>☐ Yes ☐ No</td>
</tr>
<tr>
<td>If yes, are organotin catalysts used in the production of silicone polymers?</td>
<td>☐ Yes ☐ No</td>
</tr>
<tr>
<td>If yes, does the product contain octamethylcyclotetrasiloxane, D4, (CAS 556-67-2) and decamethylcyclopentasiloxane, D6, (CAS 541-02-6) and dodecamethylcyclohexasiloxane, D6 (CAS 540-97-6)? Contaminants of D4, D5 and D6 included in the final commercial product in concentrations below 800 ppm (0.08% by weight, 800 mg/kg) are exempt from the requirement. The commercial product refers to the silicone emulsion’s coating bath.</td>
<td>☐ Yes ☐ No</td>
</tr>
</tbody>
</table>

If the product contains D4 (CAS 556-67-2) and D5 (CAS 541-02-6) and/or D6 (CAS 540-97-6)

please state volumes of D4:________________________

please state volumes of D5:________________________

please state volumes of D6:________________________
In the event of any change to the composition of the product, a new declaration of fulfilment of the requirements is to be submitted to Nordic Ecolabelling.

Signature of the chemical manufacturer / chemical supplier

<table>
<thead>
<tr>
<th>Place and date</th>
<th>Company name/stamp</th>
</tr>
</thead>
<tbody>
<tr>
<td>Person responsible</td>
<td>Signature of the person responsible (electronic signature is accepted)</td>
</tr>
<tr>
<td>Telephone</td>
<td>E-mail</td>
</tr>
</tbody>
</table>
Appendix 5 Guidelines for standards, vegetable raw material

Nordic Ecolabelling sets requirements of the standards under which sustainably cultivated vegetable raw materials are to be certified. The criteria are described below. Each individual national sustainability standard and each certification system is reviewed by Nordic Ecolabelling to ensure that all of the criteria are met.

Criteria for standards

- The standard will balance financial, ecological, and social interests and comply with the UN's Rio document Agenda 21 and the forestry principles and respect relevant international conventions and agreements.
- The standard must contain absolute requirements and must promote and contribute to sustainable cultivation. Nordic Ecolabelling gives particular emphasis to the standard having effective requirements and that the absolute requirements protect the ecosystem's biodiversity from illegal felling and that the absolute requirements protect the biodiversity of the forest's ecosystem.
- The standard must be public. It must be drawn up in an open, fair process in which environmental, economic, and social stakeholders have been invited to take part.

The requirements of the sustainability standard are worded as process requirements, in which the starting point is that if the economic, social, and environmental stakeholders in a process are in agreement on a standard, an acceptable level for the standard is assured.

If a sustainability standard is developed or accepted by economic, organic and social stakeholders, it is likely that the standard maintains a good level of requirements. For this reason, it is a requirement that the standard balances the three stakeholder groups and that all stakeholder groups must have been invited to be involved in developing the sustainability standard.

The standard must include absolute requirements that must be met before the forest is certified. This ensures that the forestry/agriculture concerned maintains its environmental work at an acceptable level. When Nordic Ecolabelling requires that the standard promotes and contributes to sustainable forestry/agriculture, it is required that the standard is evaluated and revised on a regular basis so that the process is developed and the environmental impact is reduced on an ongoing basis.

Criteria for certification system

- The certification system must be open, have major national or international credibility and be able to verify that the requirements of the sustainability standard are met.

Criteria for certification bodies

- The certification body must be impartial and trustworthy and must be able to control that the requirements of the standard are fulfilled. The certification body must also be able to communicate the results and be capable of efficiently implementing the standard.
• Certification must be carried out by an accredited competent third party. The purpose of certification is to quality assure that the requirements in the sustainability standard are fulfilled.
• The certification system must be suitable to verify that the requirements of the sustainability standard are fulfilled. The method used in certification must be replicable and usable for forestry/agriculture and certification must take place in relation to a specific sustainability standard. Control of the standard in the area must take place before the certificate is issued.

Requirement regarding CoC (Chain of Custody) certification
• Chain of Custody certification is to be carried out by an accredited competent third party.

• The system must set requirements for the CoC chain guaranteeing traceability, documentation and control throughout the supply chain.

Documentation
• Copy of the agriculture standard, the name address and phone number of the organisation which drew up the standard, and the final report of the certification body.
• Reference must be made to the persons who represent the parties and interest groups invited to participate in developing the forestry/agriculture standard.

Nordic Ecolabelling is entitled to require further documentation to review whether the requirements of standards and the certification system are fulfilled.
Appendix 6  Declaration Plastics

To be used in conjunction with an application for a licence for the Nordic Swan Ecolabelling of Packaging for Liquid Foods.

Declaration for requirements O22 and O23.

To be completed by the producer of polymer/plastic material.

<table>
<thead>
<tr>
<th>Name of the plastic material and type of polymer</th>
<th>Name of the polymer/plastic producer</th>
</tr>
</thead>
</table>

**O22 Additives in plastic**

Are additives such as stabilisers, dyes/pigments, plasticisers, antioxidants and fillers added to the polymer/plastic material?  

- if yes, additives to the polymer/plastic material
  - must fulfil the chemical requirements O15, O16 and O17 (note that inorganic fillers are exempted in the polymer/plastic material). Use Appendix 4.

Enclose the safety data sheet for the additive, in accordance with applicable European legislation.

<table>
<thead>
<tr>
<th>Specify additives</th>
</tr>
</thead>
<tbody>
<tr>
<td>Additive</td>
</tr>
<tr>
<td>---</td>
</tr>
</tbody>
</table>

**O23 Residual monomers in polymer**

<table>
<thead>
<tr>
<th>Does the polymer include maximum 100 ppm residual monomers, classified below:</th>
</tr>
</thead>
<tbody>
<tr>
<td>Carc. 1A or 1B H350</td>
</tr>
<tr>
<td>Carc. 2 H351</td>
</tr>
<tr>
<td>Muta. 1A or 1B H340</td>
</tr>
<tr>
<td>Muta. 2 H341</td>
</tr>
<tr>
<td>Repr. 1A or 1B H360</td>
</tr>
<tr>
<td>Repr 2 H361</td>
</tr>
<tr>
<td>H362 (Reprotoxic, effects on or via breastfeeding, supplementary category)</td>
</tr>
</tbody>
</table>

The amount can be maximum 100 ppm for each classification.
Residual monomers must be measured on the newly produced polymer.

The plastic manufacturer can send this information directly to Nordic Ecolabelling.
Appendix 7  Analysis laboratory

**Requirement of the analysis laboratory**

The analysis laboratory must fulfil the general requirements under standard EN ISO 17025 or be an official GLP-approved analysis laboratory.

The applicant’s own analysis laboratory/test procedure may be approved for analysis and testing if:

- the authorities monitor the sampling and analysis process, or if
- the manufacturer has a quality management system encompassing testing and analysis and has been certified in accordance with ISO 9001, or if
- the manufacturer can demonstrate agreement between a first-time test conducted in parallel at an independent test institute and the manufacturer's own laboratory, and that the manufacturer takes samples according to a fixed sampling plan.

**Content of chemical substances in recycled plastic**

Analysis of the content of substances like phthalates, bisphenol A, F and S and styrene must be done with

- XRF (X-ray fluorescence)
- ICP-MS (inductively coupled plasma - mass spectrometry)
- SEM (scanning electron microscopy) with EDS (Energy-dispersive X-ray spectroscopy)
- FTIR (Fourier transform infrared spectroscopy)

or equivalent methods. The test results may be submitted by the plastic producer or by a later part of the supply chain. The test must be performed on the "clean" material before adding of any glue or other additives. The method of analysis and the detection limit must be stated.